Site Name:					Site ID:			
Site Address:					City:			
Contact Person:					Email:			
Current # of Individuals Served at this location regardless of funding:				Current # of M Served at this I	edicaid Individuals ocation:			
Waiver(s) Served:	☐ Acquired B	rain injury	☐ Aging Waiver	☐ Con	nmunity Support	cs \square Community	Transition	☐ New Choices
HCDC Dura idan Tura	☐ Day Suppor	rt Services	☐ Adult Day Care	☐ Res	idential Facility	☐ Supported E	Employment	☐ Supported Living
HCBS Provider Type:	☐ Employment Preparation Services							
Date of Onsite Assessment: ☐ Virtual ☐ In-person					Assessment Completed By:			
Validation visit reviews are conducted after a settings remediation/transformation plan has been approved and completed. Validation visit reviews focused on the areas the setting addressed within their remediation/transformation plans. Characteristic 1: The setting is integrated in and supports full access of individuals receiving Medicaid HCBS to the greater community, including								
on the areas the setting	ng addressed wi etting is integra	thin their re	emediation/transform	individua	als receiving Med			
On the areas the setting Characteristic 1: The sopportunities to seek	ng addressed wi etting is integra employment an	thin their re ted in and s id work in c	emediation/transform supports full access of competitive integrated	individua I settings,	als receiving Med engage in comm			nity, including rces, and receive services
on the areas the setting	ng addressed wi etting is integra employment an the same degree	thin their re ted in and s id work in c	emediation/transform supports full access of competitive integrated	individua I settings,	als receiving Med engage in comm	nunity life, control pe		rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to	ng addressed wi etting is integra employment an the same degree	thin their re ted in and s id work in c	emediation/transform supports full access of competitive integrated	individua I settings, iving Med	als receiving Med engage in comm	nunity life, control pe	ersonal resou	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to Information Sources (Observation Record/Document	ng addressed wi etting is integra employment an the same degree All that apply)	thin their re ted in and s id work in c	supports full access of competitive integrated as individuals not recent the competitive integrated as individuals not recent the competition in t	individual settings, iving Med	als receiving Medengage in commodicaid HCBS. Leadership	nunity life, control pe	ersonal resou	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to Information Sources (Observation Record/Document Other:	etting is integra employment an the same degree All that apply)	thin their re ted in and s ad work in c e of access a	supports full access of competitive integrated as individuals not recent notations.	individual settings, siving Med	als receiving Medengage in comm dicaid HCBS. Leadership Other:	nunity life, control pe	Remediation Yes No	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to Information Sources (Observation Record/Document Other: Yes No	etting is integra employment an the same degree All that apply)	thin their re ted in and s ad work in c e of access a	supports full access of competitive integrated as individuals not recent the competitive integrated as individuals not recent the competition in t	individual settings, siving Med	als receiving Medengage in communicated HCBS. Leadership Other:	nunity life, control pe	Remediation Yes No	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to Information Sources (Observation Record/Document Other: Yes No	etting is integra employment an the same degree All that apply) Review Ar	thin their re ted in and s ad work in c e of access a	supports full access of competitive integrated as individuals not recent notations.	individual settings, siving Med	als receiving Medengage in communicated HCBS. Leadership Other: eir remediation/	nunity life, control pe	Remediation Yes No	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to Information Sources (Observation Record/Document Other: Yes No	etting is integra employment an the same degree All that apply) Review Ar	thin their re ted in and s ad work in c e of access a	supports full access of competitive integrated as individuals not recent notations.	individual settings, siving Med	als receiving Medengage in communicated HCBS. Leadership Other: eir remediation/	nunity life, control pe	Remediation Yes No	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to Information Sources (Observation Record/Document Other: Yes No	etting is integra employment an the same degree All that apply) Review Ar	thin their re ted in and s ad work in c e of access a	supports full access of competitive integrated as individuals not recent notations.	individual settings, siving Med	als receiving Medengage in communicated HCBS. Leadership Other: eir remediation/	nunity life, control pe	Remediation Yes No	rces, and receive services
Characteristic 1: The sopportunities to seek in the community, to information Sources (Observation Record/Document Other: Yes No	etting is integratemployment and the same degree All that apply) Review An ampliance etting is selected the setting. The setting is selected to the setting.	ted in and s and work in c e of access a reas to Valid d by the inc	emediation/transform supports full access of competitive integrated as individuals not recent as individuals not recent as individuals not recent as individuals individual staff date (areas addressed dividual from among stare identified and do	individual settings, siving Med	als receiving Medengage in committed dicaid HCBS. Leadership Other: eir remediation/ Notes/Evidence of the committed dicaid HCBS.	transformation plan of Non-Compliance	Remediation Yes No s)	Required an option for a private
Characteristic 1: The sopportunities to seek in the community, to some sources (etting is integraremployment and the same degree All that apply) Review Armpliance etting is selected the setting is selected the setting. The setting residential setting and the setting the sett	ted in and s and work in c e of access a reas to Valid d by the inc	emediation/transform supports full access of competitive integrated as individuals not recent as individuals not recent as individuals not recent as individuals individual staff date (areas addressed dividual from among stare identified and do	individual settings, siving Med	als receiving Medengage in committed dicaid HCBS. Leadership Other: eir remediation/ Notes/Evidence of the committed dicaid HCBS.	transformation plan of Non-Compliance non-disability specific	Remediation Yes No s)	Required an option for a private e individual's needs,

☐ Observation	Interview(s) with:	☐ Yes					
☐ Record/Document Review	☐ Individual ☐	Leadership \square No					
☐ Other:	☐ Staff ☐	Other:					
☐ Yes ☐ No	Areas to Validate (areas addressed within	their remediation/transformation plans)					
Notes/Evidence of Compliance		Notes/Evidence of Non-Compliance					
Characteristic 3: The setting ensu	res an individual's rights of privacy, dignity, a	nd respect, and freedom from coercion and restraint.					
Information Sources (All that app	ly)	Remediation Required					
☐ Observation	Interview(s) with:	☐ Yes					
☐ Record/Document Review	☐ Individual ☐	Leadership \square No					
☐ Other:		Other:					
☐ Yes ☐ No	Areas to Validate (areas addressed within	their remediation/transformation plans)					
•	•	· ,					
Notes/Evidence of Compliance		Notes/Evidence of Non-Compliance					
		·					
Characteristic 4: The setting opting	nizes, but does not regiment individual initia	tive, autonomy, and independence in making life choices, including but not					
•	al environment, and with whom to interact.	, ,					
	·						
Information Sources (All that app	ily)	Remediation Required					
☐ Observation	Interview(s) with:	 □ Yes					
☐ Record/Document Review		Leadership \square No					
☐ Other:		Other:					
☐ Yes ☐ No Areas to Validate (areas addressed within their remediation/transformation plans)							
•							
Notes/Evidence of Compliance		Notes/Evidence of Non-Compliance					
		·					
Characteristic 5: The setting facilitates individual choice regarding services and supports, and who provides them.							
Information Sources (All that app	ılv)	Remediation Required					

Observation	Intomiouda\ith.		□ Vee				
☐ Observation	Interview(s) with:	-	☐ Yes				
☐ Record/Document Review		☐ Leadership	□ No				
☐ Other:	☐ Staff	☐ Other:					
☐ Yes ☐ No Arc	eas to Validate (areas addressed withir	their remediation/tran	sformation plans)				
Notes/Evidence of Compliance		Notes/Evidence of No	on-Compliance				
-							
		•					
Characteristic 6: The setting enforces t	he Home and Community-Based Settir	ngs Regulation requireme	ents.				
		00					
Information Sources (All that apply)			Remediation Required				
☐ Observation	Interview(s) with:		☐ Yes				
☐ Record/Document Review		☐ Leadership	□ No				
☐ Other:		Other:					
	eas to Validate (areas addressed within		sformation plans)				
I les II live	to validate (areas addressed within	remem remediation, train	Siormation plansy				
Notes/Evidence of Compliance		Notes/Evidence of No	on-Compliance				
		,	•				
Table prior to July 1, 2021							
Overall Compliance							
, , , , , , , , , , , , , , , , , , ,							
☐ Currently compliant with all character	eristics (no further actions required at this t	ime).					
Requiring remediation to come into compliance with the Settings Rule; setting does not require Heightened Scrutiny							
Setting has the effect of isolating individuals receiving Medicaid HCBS from the broader community; requires heightened scrutiny							
• The setting requires remediation to comply with the settings rule criteria or must have a plan to comply with the settings rule criteria to the State's satisfaction							
prior to being submitted for heightened scrutiny							
Setting is presumed to be institutional in nature and is not found to meet the qualities for being HCBS. Setting cannot meet the qualities for being HCBS and/or setting							
chooses not to meet the qualities for being HCBS (settings will be dis-enrolled).							
•							
Table post July 1, 2021							
Overall Compliance							
Setting previously had the effect of i	isolating individuals receiving Medicaid HCE	S from the broader commu	unity: requires heightened scrutiny				
			inity, requires heightened solutilly				
Currently compliant with all characteristics (no further actions required at this time). Setting has the effect of isolating individuals receiving Medicaid HCBS from the broader community: requires heightened scrutiny.							

